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**The 4<sup>th</sup> Annual LF Dealmakers Forum**  
Advancing Litigation Finance  
September 29-30, 2021 • NYC & Virtual

### **ABOUT THE EVENT**

*The 4th Annual LF Dealmakers will be held on September 29-30, 2021 at Apella in NYC & virtually. Widely considered the industry’s “go-to” event, LF Dealmakers will once again gather the top decision-makers and thought leaders in litigation finance, as well as in-house and outside counsel, and other key stakeholders – for discussion, debate, and dealmaking.*

*Event hallmarks include high-quality content, A-list speakers, 1-to-1 meetings, and a curated audience of senior executives, including corporate attorneys and executives, law firm partners, litigation funders, investors, insurers, and strategic advisors.*

*Thank you to our generous sponsors for their support: Validity Finance, Fabricant, Longford Capital, Akin Gump, Burford Capital, Curiam Capital, MoloLamken, Parker Poe, Robins Kaplan, Schulte Roth & Zabel, Therium, Westfleet Advisors, Aventurine, Avenue 33, D. E. Shaw & Co., Litigation Funding Advisers, McKool Smith, Parabellum Capital, Pretium Partners, and Statera Capital.*

*For more details regarding the event, registration, and our health & safety protocol, visit:*  
[LFDealmakersForum.com](https://LFDealmakersForum.com).

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### **AGENDA**

*(Times Listed in ET | Topics and Speakers Subject to Change)*

#### **DAY ONE: WEDNESDAY, SEPTEMBER 29<sup>TH</sup>**

7:30 AM – 8:45 AM

*Registration & Breakfast*

8:45 AM – 9:00 AM

**WELCOME & CHAIRPERSON’S OPENING REMARKS**

9:00 AM – 9:30 AM

**KEYNOTE: LITIGATION FINANCE: SURVEY OF A SHIFTING LANDSCAPE**

**Hon. Shira A. Scheindlin**, U.S. District Court for the Southern District of New York (ret.)

9:30 AM – 5:00 PM

**ONE-TO-ONE MEETINGS**

Attendees can schedule 30-minute meetings via our mobile and desktop app

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9:30 AM – 10:30 AM

**STATE OF THE LITIGATION FINANCE INDUSTRY: INNOVATIONS & OUTLOOK**

- Top trends in litigation & litigation funding
- Notable financings, deals & news
- New entrants & product innovations
- Are we headed towards institutionalization?

Speakers:

Annie Pavia, Senior Legal Analyst, **Bloomberg Law** (moderator)

Brandon Baer, Founder & CIO, **Contingency Capital**

Fred Fabricant, Managing Partner, **Fabricant**

Michael Nicolas, Co-Founder & Managing Director, **Longford Capital**

Andrew Woltman, Principal & Co-Founder, **Statera Capital**

10:30 AM – 11:00 AM

*Networking Break*

11:00 AM – 12:00 PM

**LEGAL AND REGULATORY DEVELOPMENTS IN THE U.S. AND THE ROAD AHEAD**

- Changes to law firm ownership rules in AZ, CA, UT, FL & other states
- Exploring various law firm ownership models & related ethics and other issues
- Developments in disclosure & discoverability; new funding rule in NJ

Speakers:

Boris Ziser, Partner, **Schulte Roth & Zabel** (moderator)

Don Bivens, Chair, **ABA Center for Innovation**

Ross Wallin, Managing Principal, **Curiam Capital**

Jan Jacobowitz, Founder, **Legal Ethics Advisor** & Past President of **APRL**

William Marra, Investment Manager, **Validity Finance**

12:00 PM – 2:00 PM

*Networking Luncheon*

2:00 PM – 2:30 PM

**HOW CFOS VIEW LEGAL ASSETS: DATA & INSIGHTS FROM A RECENT SURVEY**

- CFOs on litigation spend and legal budgets
- What CFOs want their legal teams and law firms to do differently
- How CFOs think about legal finance as part of their overall funding stack

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Speakers:

Kelly Daley, Director, **Burford Capital**

Bruce MacEwen, President, **Adam Smith, Esq.**

2:30 PM – 3:30 PM

**CORPORATE LITIGATION FINANCE AT A TIPPING POINT: TRENDS & CHALLENGES**

- Trends in corporate adoption of litigation finance
- Exploring benefits and motivations driving usage
- Key challenges to corporate adoption
- New and effective ways to overcome the challenges

Speakers:

Rebecca Berrebi, Founder & CEO, **Avenue 33** (moderator)

Cono Carrano, Partner, **Akin Gump Strauss Hauer & Feld**

Vincent Montalto, Senior Litigation Counsel, **BASF**

Kory Parkhurst, Former Associate GC, **Koch Industries** & US Rep, **Harbour**

3:30 PM – 4:00 PM

*Networking Break*

4:00 PM – 4:45 PM

**THE EXPANDING TOOLBOX: CHANGES IN LAW FIRM CONTINGENCY & AFA MODELS**

- Key drivers & metrics in AFA usage at firms and corporations
- Law firm pricing approaches in challenging times
- Best practices in alternative fee arrangements
- Impact & integration of litigation funding

Speakers:

Peter Bulger, Director of Pricing & Profitability, **Cadwalader, Wickersham & Taft** (moderator)

Philip Iovieno, Partner & Co-Head of Antitrust Litigation, **Cadwalader, Wickersham & Taft**

Gretchen Lowe, Partner & CFO, **Westfleet Advisors**

David Prange, Partner, **Robins Kaplan**

4:45 PM – 5:45 PM

**ASK THE EXPERTS USERS' EDITION: TOUGH LOVE IN LITIGATION FINANCE**

This year's Ask the Experts panel will feature a twist on our usual open and candid format, where veteran users of litigation finance will share their experiences (both good and bad), as well as insights they've gained over time. They'll also impart some "tough love" in the form of advice to funders on how they could make improvements to better serve their clientele.



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Speakers:

Barry Kamar, Managing Director, **Westfleet Advisors** (moderator)  
Derek Ho, Partner, **Kellogg Hansen Todd Figel & Frederick**  
Lewis LeClair, General Counsel, **McKool Smith**  
Erika Levin, Partner, **Fox Rothschild**  
Charles D. Schmerler, Head of Litigation Finance, **Pretium Partners**

5:45 PM – 6:45 PM  
*Networking Reception*

**DAY TWO: THURSDAY, SEPTEMBER 30<sup>TH</sup>**

8:00 AM – 8:45 AM  
*Registration & Breakfast*

8:45 AM – 9:00 AM  
**CHAIRPERSON'S WELCOME & RECAP**

9:00 AM – 2:00 PM  
**ONE-TO-ONE MEETINGS**  
Attendees can schedule 30-minute meetings via our mobile and desktop app

9:00 AM – 10:00 AM  
**CIO ROUNDTABLE: FOCUS ON INVESTMENT STRATEGY & RISK MANAGEMENT**

- What are you investing in & how has that changed?
- How are you managing risk in your portfolio?
- Views on co-investing, securitizations & the secondary market
- Biggest lessons learned, challenges & opportunities

Speakers:

Steven Molo, Founding Partner, **MoloLamken** (moderator)  
Patrick Dempsey, Chief Investment Officer, US, **Therium Capital**  
Sarah Johnson, Co-Head Litigation Finance, **The D. E. Shaw Group**  
Aaron Katz, Chief Investment Officer, **Parabellum Capital**  
David Kerstein, Chief Risk Officer & Senior Investment Manager, **Validity Finance**

10:00 AM – 10:30 AM  
*Networking Break*

10:30 AM – 11:30 AM

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**MAKING SENSE OF CENTS: INTERPLAY BETWEEN FUND TYPES, DEAL STRUCTURES & COST OF CAPITAL**

- Understanding Different Funds & How They're Structured
- Where the Capital is Coming From & Why It Matters
- Are Certain Funds/Capital Structures Better Suited for Certain Deals?
- What's the True Cost of Capital & Who are the Real Decision Makers?

Speakers:

Ted Farrell, Founder, **Litigation Funding Advisors** (moderator)

Tets Ishikawa, Managing Director, **LionFish**

David Jang, Principal, **Bardin Hill Investment Partners**

Sarah Lieber, Managing Director & Co-Head Litigation Finance Group, **Stifel**

11:30 AM – 12:30 PM

**BEYOND FINANCIAL METRICS: LITIGATION FINANCE AS A TOOL FOR GENERATING MEASURABLE SOCIAL AND ENVIRONMENTAL IMPACT**

- How to supplement financial return with measurable social impact in litigation finance transactions
- For plaintiffs and counsel: How to generate funder interest in your ESG, SRI case
- A different perspective: Unique challenges and benefits for litigation funders, counsel and plaintiffs in structuring litigation finance transactions for social impact

Speakers:

Michael Kelley, Partner, **Parker Poe** (moderator)

Richard Fields, Founding Partner, **Fields PLLC**

Jacob Lipton, Co-Executive Director, **Justice Catalyst**

Jason McCue, Founder & Director, **GREENLIT SA**

Robert Ryan, Founder & CEO, **Aristata Capital**

12:30 PM – 2:00 PM

*Networking Luncheon*

2:00 PM

*Event Concludes*