



THE 3RD ANNUAL LF DEALMAKERS FORUM
OCTOBER 13-22, 2020 • VIRTUAL EVENT
ADVANCING LITIGATION FINANCE

4 Days of Live Discussions / Breakouts / 1-to-1 Meetings / Networking
Quality Content / A-List Speakers / Curated Group of Decision-Makers

Schedule-at-a-Glance (All times listed in Eastern Time)

Tuesday, October 13

11:00am – 11:30am: Welcome & Opening Conversation (Featuring interview with Ashley Keller)
11:30am – 12:30pm: Panel #1 (45-minute discussion followed by Q&A)
12:30pm – 1:00pm: Stretch / Connect Break
1:00pm – 2:00pm: Panel #2 (45-minute discussion followed by Q&A)
2:00pm – 5:00pm: 1-to-1 Meetings (Participants may schedule 15-minute video meetings)

Thursday, October 15

11:00am – 12:00pm: Panel #3 (45-minute discussion followed by Q&A)
12:00pm – 12:45pm: Hosted Small Group Breakouts
12:45pm – 1:00pm: Stretch / Connect Break
1:00pm – 2:00pm: Panel #4 (45-minute discussion followed by Q&A)
2:00pm – 5:00pm: 1-to-1 Meetings (Participants may schedule 15-minute video meetings)
5:00pm – 6:00pm: After-the-Event Networking Hour

Tuesday, October 20

11:00am – 12:00pm: Panel #5 (45-minute discussion followed by Q&A)
12:00pm – 12:45pm: Hosted Small Group Breakouts
12:45pm – 1:00pm: Stretch / Connect Break
1:00pm – 2:00pm: Panel #6 (45-minute discussion followed by Q&A)
2:00pm – 5:00pm: 1-to-1 Meetings (Participants may schedule 15-minute video meetings)

Thursday, October 22

11:00am – 12:00pm: Panel #7 (45-minute discussion followed by Q&A)
12:00pm – 12:30pm: Stretch / Connect Break
12:30pm – 1:30pm: Panel #8 (45-minute discussion followed by Q&A)
1:30pm – 2:00pm: Farewell & Closing Conversation
2:00pm – 5:00pm: 1-to-1 Meetings (Participants may schedule 15-minute video meetings)
5:00pm – 6:00pm: After-the-Event Networking Hour



THE 3RD ANNUAL LF DEALMAKERS FORUM
OCTOBER 13-22, 2020 • VIRTUAL EVENT
ADVANCING LITIGATION FINANCE

Panel Topics

Please Note: Panel and Breakout Topics, Speakers, and Schedule Subject to Change.

Panel #1:

STATE OF THE INDUSTRY: LITIGATION FINANCE & RISK MANAGEMENT

- Global Landscape: New Entrants, Exits & Fundraising
- Market Analysis: Data Trends & Patterns
- New Developments in Insurance & Funding Solutions
- COVID Impact & Outlook

Speakers:

Sara Randazzo, Legal Business Reporter, **The Wall Street Journal** (moderator)

Rebecca Berrebi, Founder & CEO, **Avenue 33**

Ian Ippolito, Founder, **Private Investor Club**

Leslie Perrin, Chairman, **International Legal Finance Association**

Ross Wallin, Managing Principal, **Curiam Capital**

Panel #2:

PICKING RIGHT: FINDING THE BEST FUNDING OPTION FOR YOUR FIRM

- Self-Funding Versus Third Party Funding
- Types of Funding Deals & Providers
- Key Consideration Across Deal Types for Firms
- Examining Critical Deal Terms

Speakers:

Charles Agee, CEO, **Westfleet Advisors** (moderator)

Peter Bulger, **PP&C** (former Managing Director, Financial Planning & Analysis, **Kobre & Kim**)

Chip Hodgkins, Managing Director & Co-Founder, **Statera Capital**

Michael Nicolas, Co-Founder & Managing Director, **Longford Capital**

Kalpna Srinivasan, Managing Partner, **Susman Godfrey**

Panel #3:

CIO ROUNDTABLE: INSIGHTS, CHALLENGES & OPPORTUNITIES

- What Are You Funding & Why?



THE 3RD ANNUAL LF DEALMAKERS FORUM
OCTOBER 13–22, 2020 • VIRTUAL EVENT
ADVANCING LITIGATION FINANCE

- What Aren't You Funding & Why Not?
- Biggest Opportunities & Areas of Growth for LF
- Biggest Concerns & Threats

Speakers:

Steven Molo, Founding Partner, **MoloLamken** (moderator)
Patrick Dempsey, Chief Investment Officer, US, **Therium Capital**
Sarah Johnson, Co-Head Litigation Finance, **D. E. Shaw & Co.**
Aaron Katz, Chief Investment Officer, **Parabellum Capital**
David Kerstein, Chief Risk Officer & Senior Investment Manager, **Validity Finance**

Panel #4:

LEGAL, REGULATORY, AND ETHICS DEVELOPMENTS

- ABA Best Practices
- Uniform Law Commission Decision
- Arizona Rule Changes
- Fast Trak Investment Co., LLC v. Sax
- Qui Tam False Claims Act Case and DOJ Position
- Rule 5.4 and Portfolio Funding Concerns

Speakers:

Annie Pavia, Senior Analyst, **Bloomberg Law** (moderator)
Preeti Bhagnani, Partner, **White & Case**
William Marra, Investment Manager, **Validity Finance**
Tyler Maulsby, Counsel, **Frankfurt Kurnit**

Panel #5:

FROM COST TO PROFIT: CORPORATE PERSPECTIVES ON LITIGATION FINANCE

- Funding Options for Legal Departments
- How the Process Works & What It Will Cost
- Managing Communications, Expectations & Relationships
- Key Challenges to Adoption

Speakers:

Marla Butler, Partner, **Thompson Hine** (moderator)
Sanjay Desai, Head of Litigation Insurance & Funding, **Marsh**



THE 3RD ANNUAL LF DEALMAKERS FORUM
OCTOBER 13-22, 2020 • VIRTUAL EVENT
ADVANCING LITIGATION FINANCE

York Eggleston, Managing Partner, **YE Ventures**
Vincent Montalto, Senior Litigation Counsel, **BASF**

Panel #6:

ASK THE EXPERTS: TOUGH QUESTIONS IN LITIGATION FINANCE

An open and candid forum to dialogue with leading experts on the most pressing issues and “gray areas” in litigation finance including conflicts of interest, disclosure, tax and accounting issues, and more. Questions can be submitted by participants in advance of and during the event.

Speakers:

Gene Phillips, CEO, **PF2 Securities** (moderator)
Fred Fabricant, Partner, **Fabricant LLP**
William Farrell, Managing Director & General Counsel, **Longford Capital**
Matthew Harrison, Senior Investment Manager, Director of Complex Strategies, **Omni Bridgeway**
Lewis LeClair, General Counsel, **McKool Smith**

Panel #7:

BEST PRACTICES & LESSONS LEARNED IN FIRM-FUNDER ALLIANCES & FUNDED DEALS

- Funding Terms that Balance Risk & Reward
- Dealing with Claimant, Litigator, and Funder Dynamics
- Managing the Case, Cost, and Resolution
- Avoiding Pitfalls & Common Mistakes

Speakers:

Alan Guy, Managing Director of Underwriting, **Kobre & Kim** (moderator)
Patrick Arenz, Partner, **Robins Kaplan**
Aaric Eisenstein, VP of Licensing Strategy, **PMC**
Tatiana Markel, Partner, **Baker Hostetler**

Panel #8:

SECTOR SPOTLIGHT: FUNDING IN PATENT & INTELLECTUAL PROPERTY CASES

- What Kinds of IP Cases are Getting Funded: Patents vs Other IP
- What to Prepare & Expect in the Diligence Process
- What to Know When Negotiating Funding Agreements
- Patent Specific Considerations: Section 101, IPRs, ITC



THE 3RD ANNUAL LF DEALMAKERS FORUM
OCTOBER 13-22, 2020 • VIRTUAL EVENT
ADVANCING LITIGATION FINANCE

Speakers:

Michael Renaud, Member, Mintz (moderator)

Susan Dunn, Co-Founder, Harbour Litigation Funding

Warren Hurwitz, President & CEO, Innovative Foundry Technologies

Thomas Loureiro, Executive VP of IP Licensing and Strategy, Innovative Foundry Technologies

Breakout Topics

Participants may join small group breakouts for discussions on specific topics including:

Women in Litigation Finance / Law Firm-Funder Partnerships / International Arbitration
Tax & Accounting / Legal & Ethics / Bankruptcy & Insolvency / In-House & Corporate / IP